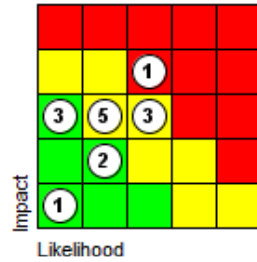


Corporate Risk Register



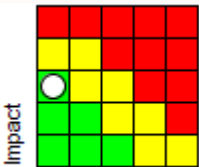
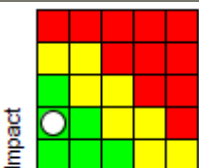
Corporate Risk Register

Current Risk Heat Map


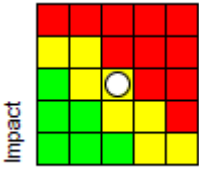
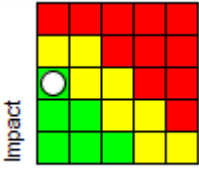



Status	Code	Title	Key										
	CRR 01	Significant Partnerships	<table border="1"> <thead> <tr> <th colspan="2">Risk Status</th> </tr> </thead> <tbody> <tr> <td></td> <td>OK</td> </tr> <tr> <td></td> <td>Warning</td> </tr> <tr> <td></td> <td>Alert</td> </tr> <tr> <td></td> <td>Unknown</td> </tr> </tbody> </table>	Risk Status			OK		Warning		Alert		Unknown
Risk Status													
	OK												
	Warning												
	Alert												
	Unknown												
	CRR 02	Capital Programme											
	CRR 03	Staff Management											
	CRR 04	External Funding											
	CRR 05	Affordable Housing											
	CRR 06	Procurement											
	CRR 07	Health and Safety											
	CRR 08	Business Continuity Planning											
	CRR 09	Governance Arrangements											
	CRR 10	Major Incident risk Flooding											
	CRR 11	Council Assets											
	CRR 12	Customer Expectations											
	CRR 13	Fraud and Corruption											
	CRR 14	Data Quality											
	CRR 15	Delivering Efficiencies											


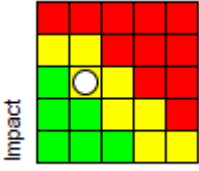

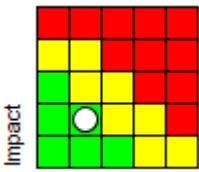
CRR 01 Significant Partnerships


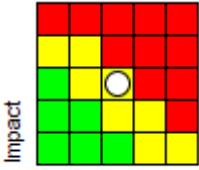


Risk Code	Risk Title	Description	Status								
CRR 01	Significant Partnerships	That the Council fails to manage its partnerships effectively									
Consequences		Financial cost to the Council through partnership failure, breach of legislation by partnership with consequences for Council and its reputation, levels of service satisfaction and quality fall below acceptable levels.									
Original Matrix		Original Rating Description									
		Original Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">C</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: center;">Medium</td> <td style="text-align: center;">Original Likelihood</td> </tr> <tr> <td></td> <td style="text-align: center;">3</td> </tr> <tr> <td></td> <td style="text-align: center;">Likely</td> </tr> </table>	C		Medium	Original Likelihood		3		Likely
C											
Medium	Original Likelihood										
	3										
	Likely										
Current Risk Matrix		Current Rating Description									
		Current Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">C</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: center;">Medium</td> <td style="text-align: center;">Current Likelihood</td> </tr> <tr> <td></td> <td style="text-align: center;">1</td> </tr> <tr> <td></td> <td style="text-align: center;">Very Low</td> </tr> </table>	C		Medium	Current Likelihood		1		Very Low
C											
Medium	Current Likelihood										
	1										
	Very Low										
Target Risk Matrix		Target Rating Description									
		Target Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">B</td> <td style="width: 50%;"></td> </tr> <tr> <td style="text-align: center;">Minor</td> <td style="text-align: center;">Target Likelihood</td> </tr> <tr> <td></td> <td style="text-align: center;">1</td> </tr> <tr> <td></td> <td style="text-align: center;">Very Low</td> </tr> </table>	B		Minor	Target Likelihood		1		Very Low
B											
Minor	Target Likelihood										
	1										
	Very Low										
Latest Progress			SMT Lead								
01 Feb 2011 All partnerships identified as significant being managed by Management Team in line with the Councils Partnership Protocol. Tourism Commissioning Project reviewing exit strategy for the Council following reduction in external funding. This partnership will cease operations on 31 March 2011.			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">24 Sep 2011</td> <td style="width: 50%; text-align: center;">Clare Slater</td> </tr> </table>	24 Sep 2011	Clare Slater						
24 Sep 2011	Clare Slater										


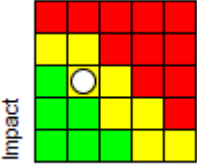
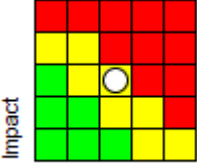

CRR 02 Capital Programme

Risk Code	Risk Title	Description	Status		
CRR 02	Capital Programme	Failure to deliver capital programme for Council priorities, on budget and on time.			
Consequences		Failure to deliver the Council priorities			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	3
			Medium		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
		Target Impact	C	Target Likelihood	1
			Medium		Very Low
Latest Progress				Last Review Date	SMT Lead
01 Feb 2011 Progress monitoring embedded in the work of Resources Working Party, who receive bi-monthly reports with highlight reporting on individual projects.				24 Sep 2011	Trevor Anderson





CRR 03 Staff Management





Risk Code	Risk Title	Description		Status
CRR 03	Staff Management	Failure to effectively manage and develop our workforce assets		
Consequences		Decline in employee performance and delivery		
Original Matrix		Original Rating Description		
		Original Impact	C	2
			Medium	Original Likelihood
Current Risk Matrix		Current Rating Description		
		Current Impact	C	2
			Medium	Current Likelihood
Target Risk Matrix		Target Rating Description		
		Target Impact	B	2
			Minor	Target Likelihood
Latest Progress			Last Review Date	SMT Lead
01 Feb 2011 HR Policies and Procedures reviewed in line with One 11 programme. Workforce Plan completed Leadership Development programme delivered			29 Sep 2011	Louise Sandall





CRR 04 External Funding				
Risk Code	Risk Title	Description	Status	
CRR 04	External Funding	Failure to attract external funding to support the priorities of the Council and failure to manage that funding we do attract.		
Consequences		Failure to deliver Council priorities requiring major financial investment. Increased costs to RDC. Failure to regenerate the local economy. Uncompetitive service delivery. Withdrawal or failure of a service. Inability to deliver new services		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	3
		Medium		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	D	Current Likelihood	3
		Major		Likely
Target Risk Matrix		Target Rating Description		
	Target Impact	C	Target Likelihood	2
		Medium		Not Likely
Latest Progress			Last Review Date	SMT Lead
24 Sep 2010 Uncertainty in relation to withdrawal of regional funding agencies and cessation of funding streams			24 Sep 2011	Trevor Anderson

CRR 05 Affordable Housing				
Risk Code	Risk Title	Description	Status	
CRR 05	Affordable Housing	Housing need in Ryedale which can be met through the provision of affordable housing is not met.		
Consequences		Homelessness increases with resultant service costs. Unbalanced housing market. Negative impact on the local economy. Lack of key workers to support the needs of the community		
Original Matrix		Original Rating Description		
		Original Impact Medium		Original Likelihood Not Likely
Current Risk Matrix		Current Rating Description		
		Current Impact Medium		Current Likelihood Likely
Target Risk Matrix		Target Rating Description		
		Target Impact Medium		Target Likelihood Not Likely
Latest Progress			Last Review Date	SMT Lead
01 Feb 2011 A range of Housing Initiatives have recently been agreed by the Commissioning Board to support the delivery of affordable housing in Ryedale including: partnership arrangements to continue the Rural Housing Enabler activity in Ryedale beyond September 2011; • the appointment of a new Housing Development Officer to maximise delivery of affordable housing in Ryedale; • investigations into a new build facility to provide supported accommodation for homeless younger people in Ryedale; • the extension of the Ryedale Mortgage Rescue Scheme to 2013.			24 Sep 2011	Gary Housden; Julian Rudd


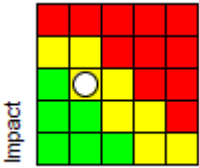


CRR 06 Procurement

Risk Code	Risk Title	Description			Status
CRR 06	Procurement				
Consequences		Failure to make efficiency savings. Priority projects not delivered to budget. Government penalties. Breach of legislation e.g. equalities or health and safety. Damage to RDC reputation.			
Original Matrix		Original Rating Description			
		Original Impact	D	Original Likelihood	3
			Major		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	C	Current Likelihood	2
			Medium		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	C	Target Likelihood	2
			Medium		Not Likely
Latest Progress				Last Review Date	SMT Lead
01 Feb 2011 Partnership arrangements for specialist support working well. Procurement Strategy revised and web pages updated.				24 Sep 2011	Phil Long


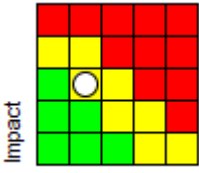
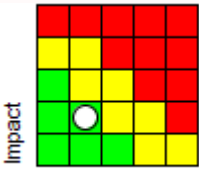
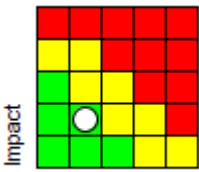
CRR 07 Health and Safety				
Risk Code	Risk Title	Description	Status	
CRR 07	Health and Safety	Failure to ensure appropriate systems are in place to manage Health and safety		
Consequences		Failure to meet legislative requirements, prosecution and financial penalties incurred as a result of incident.		
Original Matrix		Original Rating Description		
		Original Impact	C	Original Likelihood
			Medium	
Likely				
Current Risk Matrix		Current Rating Description		
		Current Impact	C	Current Likelihood
			Medium	
Likely				
Target Risk Matrix		Target Rating Description		
		Target Impact	B	Target Likelihood
			Minor	
Not Likely				
Latest Progress			Last Review Date	SMT Lead
01 Feb 2011 Member and officer training undertaken. Health and Safety policy framework in place. Ownership across the organisation, roles and responsibilities clarified at all levels of management			24 Sep 2011	Phil Long

CRR 08 Business Continuity Planning				
Risk Code	Risk Title	Description	Status	
CRR 08	Business Continuity Planning	Failure to produce effective, comprehensive and tested plan.		
Consequences		Failure in continuity of service delivery. Negative impact on the most vulnerable on our communities. Damage to RDC reputation. Financial penalties and litigation		
Original Matrix		Original Rating Description		
	Original Impact	C	Original Likelihood	3
		Medium		Likely
Current Risk Matrix		Current Rating Description		
	Current Impact	C	Current Likelihood	3
		Medium		Likely
Target Risk Matrix		Target Rating Description		
	Target Impact	B	Target Likelihood	2
		Minor		Not Likely
Latest Progress			Last Review Date	SMT Lead
01 Feb 2011			24 Sep 2011	Paul Cresswell


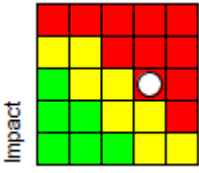
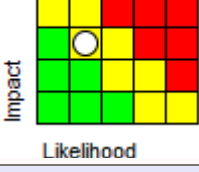
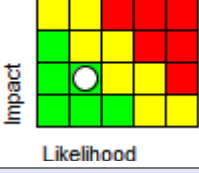
CRR 09 Governance Arrangements

Risk Code	Risk Title	Description			Status
CRR 09	Governance Arrangements				
Consequences					
Original Matrix		Original Rating Description			
 <p data-bbox="365 563 562 587">Likelihood</p>		Original Impact	C	Original Likelihood	2
			Medium		Not Likely
Current Risk Matrix		Current Rating Description			
 <p data-bbox="365 818 562 842">Likelihood</p>		Current Impact	C	Current Likelihood	1
			Medium		Very Low
Target Risk Matrix		Target Rating Description			
 <p data-bbox="365 1074 562 1098">Likelihood</p>		Target Impact	C	Target Likelihood	1
			Medium		Very Low
Latest Progress				Last Review Date	SMT Lead
01 Feb 2011 Positive audit judgement made for governance arrangements.				24 Sep 2011	Anthony Winship


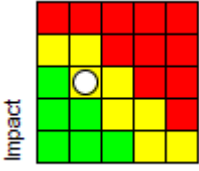

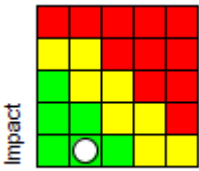
CRR 10 Major Incident risk Flooding

Risk Code	Risk Title	Description			Status
CRR 10	Major Incident risk Flooding	Ensure the Council is prepared to deal with a major flooding incident to meet its obligation for Emergency Planning.			
Consequences		Impact on local communities and service continuity.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	2
			Medium		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
01 Feb 2011 Major incident plan in place with training for all relevant officers undertaken in partnership with NYCC				24 Sep 2011	Phil Long


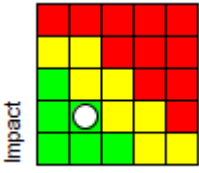
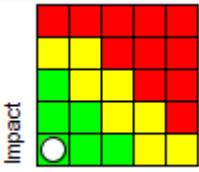
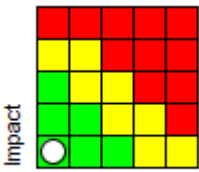
CRR 11 Council Assets

Risk Code	Risk Title	Description			Status	
CRR 11	Council Assets	Ensure the Council has proper plan to ensure maintenance and fitness for purpose of the Council assets				
Consequences						
Original Matrix			Original Rating Description			
			Original Impact	C	4	
				Medium	Original Likelihood	Very Likely
Current Risk Matrix			Current Rating Description			
			Current Impact	C	2	
				Medium	Current Likelihood	Not Likely
Target Risk Matrix			Target Rating Description			
			Target Impact	B	2	
				Minor	Target Likelihood	Not Likely
Latest Progress					Last Review Date	SMT Lead
01 Feb 2011 Major investment made resulting in improved facilities at Ryedale House, and energy efficiency at Council offices and sports facilities					24 Sep 2011	Phil Long


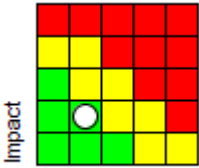
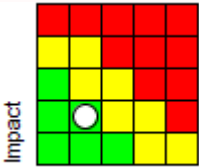
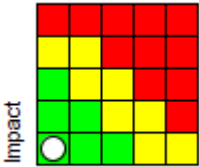
CRR 12 Customer Expectations





Risk Code	Risk Title	Description	Status						
CRR 12	Customer Expectations	Failure to meet customer service standards & meet customer expectations.							
Consequences		Include CR02							
Original Matrix		Original Rating Description							
		Original Impact	<table border="1"> <tr> <td data-bbox="1128 379 1464 421">C</td> <td data-bbox="1464 379 1778 421"></td> <td data-bbox="1778 379 2128 421">2</td> </tr> <tr> <td data-bbox="1128 421 1464 592">Medium</td> <td data-bbox="1464 421 1778 592">Original Likelihood</td> <td data-bbox="1778 421 2128 592">Not Likely</td> </tr> </table>	C		2	Medium	Original Likelihood	Not Likely
C		2							
Medium	Original Likelihood	Not Likely							
Current Risk Matrix		Current Rating Description							
		Current Impact	<table border="1"> <tr> <td data-bbox="1128 633 1464 675">C</td> <td data-bbox="1464 633 1778 675"></td> <td data-bbox="1778 633 2128 675">2</td> </tr> <tr> <td data-bbox="1128 675 1464 845">Medium</td> <td data-bbox="1464 675 1778 845">Current Likelihood</td> <td data-bbox="1778 675 2128 845">Not Likely</td> </tr> </table>	C		2	Medium	Current Likelihood	Not Likely
C		2							
Medium	Current Likelihood	Not Likely							
Target Risk Matrix		Target Rating Description							
		Target Impact	<table border="1"> <tr> <td data-bbox="1128 887 1464 928">A</td> <td data-bbox="1464 887 1778 928"></td> <td data-bbox="1778 887 2128 928">2</td> </tr> <tr> <td data-bbox="1128 928 1464 1101">Low</td> <td data-bbox="1464 928 1778 1101">Target Likelihood</td> <td data-bbox="1778 928 2128 1101">Not Likely</td> </tr> </table>	A		2	Low	Target Likelihood	Not Likely
A		2							
Low	Target Likelihood	Not Likely							
Latest Progress			Last Review Date						
01 Feb 2011 Managing customer expectations through media and communications, including budget consultation, in relation to funding challenges facing the public sector.			28 Sep 2011						
			SMT Lead						
			Paul Cresswell						

CRR 13 Fraud and Corruption

Risk Code	Risk Title	Description	Status					
CRR 13	Fraud and Corruption	Failure to ensure Council has proper procedures and policies for the prevention and detection of fraud.						
Consequences		Financial loss to the Council, damage to our reputation and credibility						
Original Matrix		Original Rating Description						
		Original Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td data-bbox="1135 395 1464 437" style="text-align: center;">B</td> <td data-bbox="1464 395 1776 437" rowspan="2" style="text-align: center;">Original Likelihood</td> <td data-bbox="1776 395 2128 437" style="text-align: center;">2</td> </tr> <tr> <td data-bbox="1135 437 1464 608" style="text-align: center;">Minor</td> <td data-bbox="1776 437 2128 608" style="text-align: center;">Not Likely</td> </tr> </table>	B	Original Likelihood	2	Minor	Not Likely
B	Original Likelihood	2						
Minor		Not Likely						
Current Risk Matrix		Current Rating Description						
		Current Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td data-bbox="1135 649 1464 691" style="text-align: center;">A</td> <td data-bbox="1464 649 1776 691" rowspan="2" style="text-align: center;">Current Likelihood</td> <td data-bbox="1776 649 2128 691" style="text-align: center;">1</td> </tr> <tr> <td data-bbox="1135 691 1464 861" style="text-align: center;">Low</td> <td data-bbox="1776 691 2128 861" style="text-align: center;">Very Low</td> </tr> </table>	A	Current Likelihood	1	Low	Very Low
A	Current Likelihood	1						
Low		Very Low						
Target Risk Matrix		Target Rating Description						
		Target Impact	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td data-bbox="1135 903 1464 944" style="text-align: center;">A</td> <td data-bbox="1464 903 1776 944" rowspan="2" style="text-align: center;">Target Likelihood</td> <td data-bbox="1776 903 2128 944" style="text-align: center;">1</td> </tr> <tr> <td data-bbox="1135 944 1464 1115" style="text-align: center;">Low</td> <td data-bbox="1776 944 2128 1115" style="text-align: center;">Very Low</td> </tr> </table>	A	Target Likelihood	1	Low	Very Low
A	Target Likelihood	1						
Low		Very Low						
Latest Progress			Last Review Date					
01 Feb 2011 Partnership arrangement with Veritau working well to deliver fraud and corruption service			24 Sep 2011					
			SMT Lead					
			Paul Cresswell					

CRR 14 Data Quality

Risk Code	Risk Title	Description			Status
CRR 14	Data Quality	The Council recognises the importance of data quality as we need reliable, accurate and timely performance information with which to manage services, inform users and account for our performance			
Consequences					
Original Matrix		Original Rating Description			
		Original Impact	B	Original Likelihood	2
			Minor		Not Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	A	Target Likelihood	1
			Low		Very Low
Latest Progress				Last Review Date	SMT Lead
01 Feb 2011 Data Quality Strategy in place and publicised to all staff. Audit of Data Quality undertaken with positive outcome				28 Sep 2011	Clare Slater

CRR 15 Delivering Efficiencies					
Risk Code	Risk Title	Description	Status		
CRR 15	Delivering Efficiencies	Council fails to meet efficiency targets which necessitates cuts to other services			
Consequences		Cuts to frontline services, reputational damage to the Council, possible poor outcome of external inspection.			
Original Matrix		Original Rating Description			
		Original Impact Major		Original Likelihood Likely	
Current Risk Matrix		Current Rating Description			
		Current Impact Medium		Current Likelihood Not Likely	
Target Risk Matrix		Target Rating Description			
		Target Impact Minor		Target Likelihood Not Likely	
Latest Progress			Last Review Date	SMT Lead	
01 Feb 2011 The one-11 programme is on schedule and almost fully delivered with efficiency savings achieved so far of £950k towards the target of one million pounds. Proposal for a balanced budget with zero increase in council tax will be put to Council, largely due to the achievements in delivering efficiencies under the One-11 programme and through the efforts of the whole Council. The Financial Strategy, including revenue and capital programmes, will be presented to the meeting of Council on 21 February.			24 Sep 2011	Paul Cresswell	